

Our Hosts from BDO Digital



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THE COST OF COMPLIANCE IS STILL HIGH ... BUT AI CAN HELP

4-10%

of annual revenue spent on compliance for global financial institutions

[2020 Thomson Reuters Report]

51%

of respondents expect an increase in time spent liaising and communicating with regulators

[2023 Thomson Reuters Report]

Over 60%

increase in operating costs spent on compliance for retail & corporate banks

[2024 Deloitte Report]

TODAY'S COMPLEX COMPLIANCE LANDSCAPE

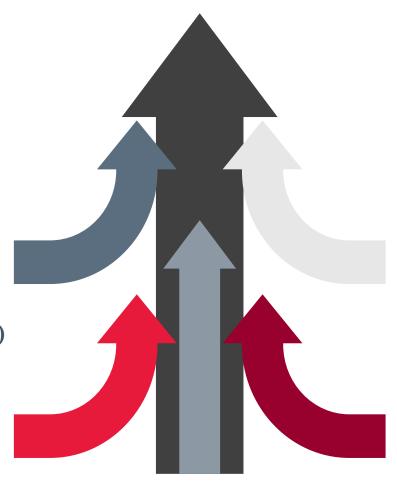
FSIs, based on their jurisdiction composition face adherence to potentially dozens of regulatory bodies

Federal Regulations (Canada)



Regional Regulations (State/Province)





Federal Regulations (US)

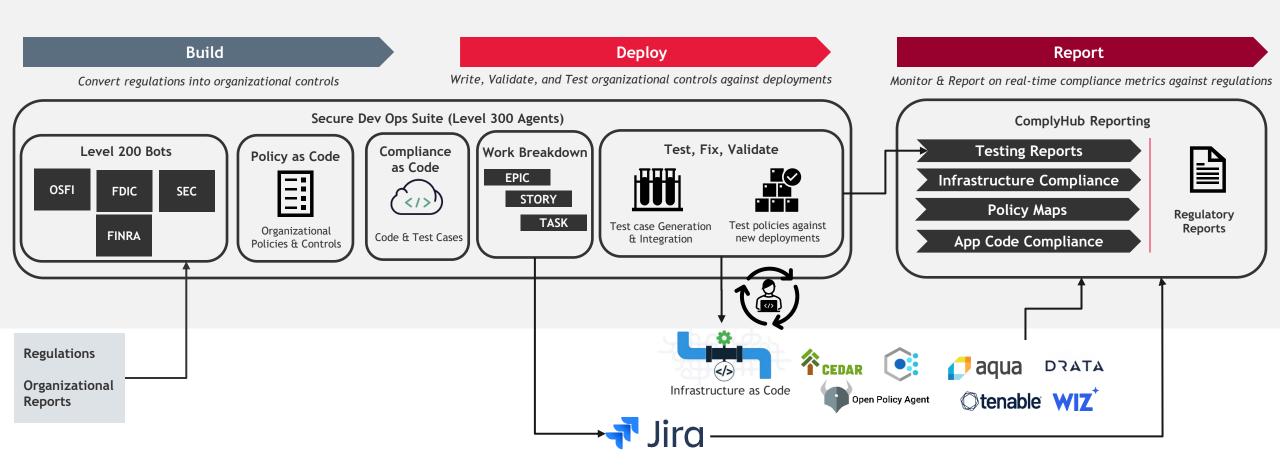


International Regulations



CHALLENGE #1: LOTS OF TECHNOLOGY IS REQUIRED FOR COMPLIANCE

AI-Powered Security Posture: Integrated Platform Supercharging Automated Compliance



CHALLENGE #2 DATA IS STILL SCATTERED ALL OVER THE PLACE

Your all-in-one FRFI compliance solution that evolves with you

Scalable, Future-Ready Solution

Seamlessly integrates with your data and aligns with OSFI's regulatory expectations, flexible to adapt to your organization's need and future regulatory landscape

Real-Time Visibility

Leverage intuitive interface to instantly identify and address compliance gaps

Accurate and Effortless Compliance

Automate complex regulatory reporting processes, freeing your teams from manual tasks and ensuring accurate, audit-ready compliance every time



COMPLYHUB

Interactive Compliance Platform



Proactive Risk Management

Mitigate cyber threats, operational disruptions, thirdparty vulnerabilities, and credit risks before they escalate, strengthening regulatory

REAL TIME DATA ACCESS

Integrates with your data sources to automatically analyze data and transform it into visual insights

PERSONA-BASED COMPLIANCE REPORTS

Tailored insights and reports based on your roles and requirements

APPLICATION-BASED COMPLIANCE REPORTS

View your compliance posture across applications to identify and highlight security risks

SOLUTION #1: STREAMLINE THE PROCESS FOR AI AUTOMATION

Understand & Plan Policy Enablement

Decomposing and Codifying Policy Implementation

Applying Policy to Infrastructure & Enforcement

Monitoring & Reporting on Compliance









FSIs, based on their jurisdiction composition face adherence to potentially dozens of regulatory bodies.

Each regulatory body has policies with hundreds or thousands compliance objectives/requirements.

FSIs often have hundreds systems comprising of thousands of capabilities operating in multiple environments requiring regulatory monitoring and control

Often the systems to monitor and control are silo'd to the specific platform such as Azure, GCP, AWS, private cloud, and traditional on prem.



Tackling Multi-Jurisdictional Compliance Sophistication



Accelerating Compliance
with Agentic AI
(Policy-as-Code /
Compliance-as-Code)



Leveraging Infrastructureas-Code for Compliance



Centralized Regulatory Monitoring & Reporting

SOLUTION #2: COMPLIANCE PRODUCTIVITY IMPROVES WITH AI MATURITY

Optimize Value at every Phase of Al Adoption

Level 300

Level 100

AI ASSISTED

Empower your development teams to collaborate with AI tools to assist with their workflows

Level 200

AI POWERED

Offload parts of development workflows to AI bots that can independently deliver on repeatable tasks

AI AUTONOMY

Leverage AI agents to independently complete end to end development workflows that are reviewed and validated by humans



Basic Recommendations



Generalized AI- Content



Human led interactions



Detailed Actionable Steps



Reduction of Manual AI Communication

Integrated with your data



Independent Action-based Agents



Traceable and Secure Workflows with Compliance Agents working together with limited user interaction

Example 1: Working with generic tools to ask regulatory and policy questions

Example 2: Policy as Code Bot - better navigate details of policies allows user to breakdown policies into insight and codified controls.

Example 3: Compliance as Code Agents-Automated Al Agents allows for breakdown policies into codified controls.

SOLUTION #3: MICROSOFT IS MAKING IT EASIER TO ADVANCE IN AI... AND ARE PROVIDING INVESTMENT FUNDING TO GET YOU STARTED



Let's See Al In Action



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irror_mod.use_x = True
irror_mod.use_y = False
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COME SEE US AT OUR BOOTH TO SEE AGENTIC AI IN ACTION

Would you like to learn more? Let's have a chat. BDO is here to assist

As experts in the fields of Technology, Risk, Compliance, and Cybersecurity, we will meet you where you are, and help you get to where you want to go.

See a demonstration of how Agentic AI is helping insurers become more productive

Visit our booth to speak with one of our experts to discuss your organisation's goals

Email us to discuss how BDO's team can help get your project started successfully

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